### Invasive Breast Case Study

**Patient**  
53 Year-Old Female Patient

<table>
<thead>
<tr>
<th>Node Status</th>
<th>Tumor Size (cm)</th>
<th>Menopausal Status</th>
<th>Tumor Type</th>
<th>ER Status (IHC)</th>
<th>PR Status (IHC)</th>
<th>HER2/NEU Status</th>
<th>Histologic Grade</th>
<th>Lymph Node Status</th>
<th>General Health</th>
<th>Other Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Positive (1-3)</td>
<td>2.9</td>
<td>Pre-Menopausal</td>
<td>Ductal</td>
<td>ER positive</td>
<td>PR positive</td>
<td>Negative</td>
<td>1</td>
<td>Positive (1-3)</td>
<td>Excellent</td>
<td>ER90% PR90% Her2-, Ki67-26%</td>
</tr>
</tbody>
</table>
The Distant Recurrence Risk at 9 Years (Prognosis), in patients treated with tamoxifen or arimidex alone, is provided by the TransATAC¹ trial. Risk is for individual RS results. The 95% confidence intervals for distant recurrence at 9 years are ±3 to ±6% for RS 0-22, and range from ±6 to ±12% as RS increases from RS 23-50. The TransATAC trial enrolled 1,231 patients and 243 patients had 1-3 positive nodes, including micrometastases.

The Absolute Benefit of Chemotherapy is provided by the SWOG 8814² trial. Results for reduction in distant recurrence or death at 5 years are for the RS groups 0-17, 18-30, and 31-100. The SWOG 8814 trial enrolled 367 patients with N+ (including micrometastases), ER+ breast cancer who were randomized to tamoxifen alone or tamoxifen plus CAF (anthracycline-containing) chemotherapy. The benefit of chemotherapy increased with an increase in the RS result. The upper bound of the 95% confidence interval for RS 18-30 was 7% absolute chemotherapy benefit.

### RESULTS

| Recurrence Score® | 17 |

#### CLINICAL EXPERIENCE

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INVASIVE BREAST CASE STUDY

CLINICAL EXPERIENCE

Real World Evidence of SEER Registry Outcomes in Patients Treated Without Chemotherapy Based on RS Results. SEER had 6,814 patients with HR+, HER2-, node positive (1-3 positive nodes or micrometastases) breast cancer, diagnosed between January 2004 and December 2014, who were reported to have no or unknown chemotherapy use. Two additional prospective studies also demonstrated favorable outcomes with endocrine therapy alone for patients with 1-3 positive nodes and RS 0-11 (PlanB³) or RS 0-17 (Clalit⁴).

<table>
<thead>
<tr>
<th>RS 0-10</th>
<th>RS 11-15</th>
<th>RS 16-20</th>
<th>RS 21-25</th>
<th>RS 26-100</th>
</tr>
</thead>
<tbody>
<tr>
<td># of Patients</td>
<td>1808</td>
<td>2196</td>
<td>1754</td>
<td>692</td>
</tr>
<tr>
<td>BCSS at 9 Years</td>
<td>98.2%</td>
<td>99.0%</td>
<td>96.7%</td>
<td>93.1%</td>
</tr>
</tbody>
</table>

ESTIMATED CHEMOTHERAPY BENEFIT FOR INDIVIDUAL RECURRENCE SCORE RESULTS

TREATMENT GIVEN: Adjuvant endocrine
INVASIVE BREAST CASE STUDY

QUANTITATIVE HORMONE RECEPTOR ANALYSIS

Quantitative Single-Gene Scores for quality control. The Oncotype DX test uses quantitative RT-PCR to determine the RNA expression of ER, PR, and HER2, using the published validated cut-offs⁴. The standard deviations of single-gene results are less than 0.5 units. The RT-PCR single-gene results may differ from ER, PR, or HER2 results reported using other methods or reported by other laboratories.

ER Score
12

PR Score
6

HER2 Score
9

References
2. ER Score based on quantitative ESR1 expression (estrogen receptor); PR Score based on quantitative PGR expression (progesterone receptor).